

function obtained by taking w to be the Fourier spectrum is optimal in some sense. (Perhaps the reader will sense a challenge in this.)

Finally, we remark that the above threshold decoding technique is generally applicable to all linear codes over $GF(p)$ (whether block, cyclic, convolutional, or whatever) in the sense that any syndrome decoding function can be implemented using the threshold scheme of (1) and (2). In the general case, $\hat{e}_{i,0}(s)$ in (1) and (2) is simply the decoded estimate of the error digit under consideration that would be made by the decoding function to be realized. In general, a different estimator matrix B and weight vector w would be required for the error component of each information digit in the block being decoded. For cyclic codes and $R = 1/b$ convolutional codes, however, one B and one w suffice for all decoding decisions.

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Weight Enumerator for Second-Order Reed-Muller Codes

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Abstract—In this paper, we establish the following result.

Theorem: A_i , the number of codewords of weight i in the second-order binary Reed-Muller code of length 2^m , is given by $A_i = 0$ unless $i = 2^{m-1}$ or $2^{m-1} \pm 2^{m-1-j}$, for some j , $0 \leq j \leq [m/2]$, $A_0 = A_{2^m} = 1$, and

$$A_{2^{m-1} \pm 2^{m-1-j}} = 2^{j(j+1)} \left\{ \frac{(2^m - 1)(2^{m-1} - 1)}{4 - 1} \right. \\ \left. \cdot \frac{(2^{m-2} - 1)(2^{m-3} - 1)}{4^2 - 1} \right\} \dots \\ \left. \frac{(2^{m-2j+2} - 1)(2^{m-2j+1} - 1)}{4^j - 1} \right\}, \\ 1 \leq j \leq [m/2]$$

$$A_{2^{m-1}} = 2 \left\{ 2^{m(m+1)/2} - \sum_{j=0}^{[m/2]} A_{2^{m-1} - 2^{m-1-j}} \right\}.$$

INTRODUCTION

AS SHOWN by Berlekamp ([1], sec. 15.3), the r th-order Reed-Muller (RM) code of length 2^m contains 2^k codewords, where $k = \sum_{i=0}^r \binom{m}{i}$. If a codeword is written as $\vec{C} = [C_0, C_1, C_2, \dots, C_{2^m-2}]$,

its coefficients may be evaluated by

$$C_j = F(X_{1,i}, X_{2,i}, \dots, X_{m,i}),$$

where $F(\cdot, \cdot, \dots, \cdot)$ is an m -variate binary polynomial, of degree at most r , and the binary elements $X_{1,i}, X_{2,i}, \dots, X_{m,i}$ are obtained from the equation

$$\alpha^i = \sum_{i=1}^m X_{i,i} \alpha^{i-1},$$

where α is a fixed primitive element of $GF(2^m)$, and α^0 is conventionally defined by the equation $\alpha^0 = 0$. Each of the 2^k codewords corresponds to a different polynomial F .

It is well known that the minimum distance of the r th-order RM code of length 2^m is given by $d = 2^{m-r}$ and that the number of codewords of that weight is given by

$$A_d = \frac{2^m(2^m - 2^0)(2^m - 2^1)(2^m - 2^2) \dots (2^m - 2^{\mu-1})}{2^\mu(2^\mu - 2^0)(2^\mu - 2^1)(2^\mu - 2^2) \dots (2^\mu - 2^{\mu-1})}$$

where $\mu = m - r$. Berlekamp and Sloane [2] have shown that all possible weights between d and $2d$ are of the form $2d - 2^i$.

In the special case $r = 2$, a theorem of McEliece [5] guarantees that every weight is divisible by $2^{(m-1)/2}$, thus, the only weights between 0 and $2d = 2^{m-1}$ must be of the form $2^{m-1} - 2^i$, $[(m-1)/2] \leq i < m-1$. Since the code contains the all-one codeword of weight 2^m , the only

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weights between 2^{m-1} and 2^m must be of the form $2^{m-1} + 2^i$, $[(m-1)/2] \leq i < m-1$. This result was first obtained by Kasami [4] using other arguments.

In this paper, we obtain a formula for the number of second-order RM codewords for each weight between d and $2d$. Using this result, Kasami and Tokura have recently obtained a formula for the number of r th-order RM codewords of each weight between d and $2d$.

After reading a preprint of this paper, McEliece [5] has presented a simpler proof of our main result, starting from results by Dickson ([3], secs. 99, 199-204). McEliece's proof also considers nonbinary codes. Since most coding theorists are not familiar with Dickson's investigations of orthogonal groups, we present our own derivation of the weight enumerator of the second-order RM code. This proof is longer than the one given by McEliece, but it requires substantially less background.

WEIGHT ENUMERATOR FOR THE SECOND-ORDER REED-MULLER CODE

Since each codeword in the second-order RM code corresponds to a polynomial of degree at most 2 in m variables, it may be represented as

$$F(\vec{X}) = \sum_{i>j} F_{i,j} X_i X_j + \sum_i F_{i,i} X_i + G, \quad (1)$$

where each of the 2^k codewords corresponds to a different choice of the $k = \binom{m}{2} + m + 1$ binary elements, $F_{i,i}$, $F_{i,j}$, and G . Since the X_i are binary variables, $X_i^2 = X_i$, and we may write

$$F(\vec{X}) + G = \sum_{i \geq j} F_{i,j} X_i X_j.$$

Thus, $F(\vec{X}) + G$ is a quadratic form, which may be written as

$$[X_1 X_2 \dots X_m] \begin{bmatrix} F_{1,1} & 0 & 0 & \dots & 0 \\ F_{2,1} & F_{2,2} & 0 & \dots & 0 \\ F_{3,1} & F_{3,2} & F_{3,3} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ F_{m,1} & F_{m,2} & F_{m,3} & \dots & F_{m,m} \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ \vdots \\ X_m \end{bmatrix}$$

As done by Berlekamp ([1], ch. 16) we now show that an arbitrary binary quadratic form may be reduced to one of a few special types by appropriate invertible affine transformations of the form $X_i \rightarrow Y_i$, where

$$Y_i = \sum_j B_{i,j} X_j + B_i.$$

In the special case of linear transformations, each $B_i = 0$. Since the transformation is invertible, each vector $\vec{X} = (X_1, X_2, \dots, X_m)$ corresponds to one and only one vector $\vec{Y} = (Y_1, Y_2, \dots, Y_m)$, and hence, the weight of the codeword corresponding to the transformed quadratic

form is equal to the weight of the codeword corresponding to the original quadratic form.

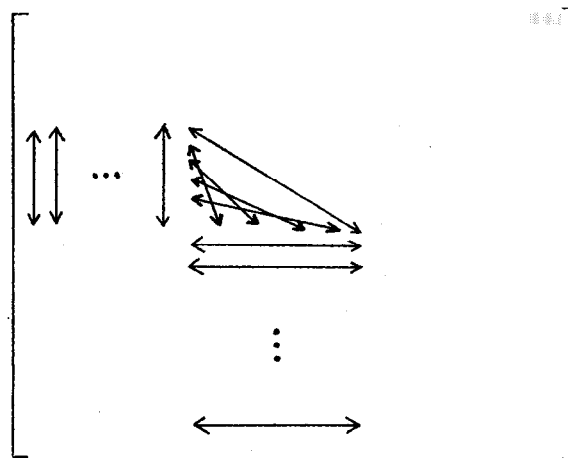
We now review the two elementary linear transformations of quadratic forms:

1) Exchange i and j , i.e., let $Y_i = X_j, Y_j = X_i, Y_k = X_k$ for $k \neq i, j$, where $i < j$. This transforms the matrix of the quadratic form as follows.

$$\begin{bmatrix} F_{1,1} \\ \dots \\ F_{i,1} & F_{i,2} & \dots & F_{i,i} \\ & & & F_{i+1,i} \\ & & & \vdots \\ F_{j,1} & F_{j,2} & \dots & F_{j,i} & \dots & F_{j,j} \\ & & & F_{i+1,i} & & F_{i+1,j} \\ & & & \vdots & & \vdots \\ F_{m,1} & F_{m,2} & \dots & F_{m,i} & \dots & F_{m,j} & \dots & F_{m,m} \end{bmatrix}$$

$$\rightarrow \begin{bmatrix} F_{1,1} \\ \dots \\ F_{i,1} & F_{i,2} & \dots & F_{i,i} \\ & & & F_{i,i+1} \\ & & & \vdots \\ F_{j,1} & F_{j,2} & \dots & F_{j,i} & \dots & F_{j,j} \\ & & & F_{i+1,i} & & F_{i+1,j} \\ & & & \vdots & & \vdots \\ F_{m,1} & F_{m,2} & \dots & F_{m,i} & \dots & F_{m,j} & \dots & F_{m,m} \end{bmatrix}$$

In other words, the elements of the matrix are permuted according to the arrows in the following diagram.



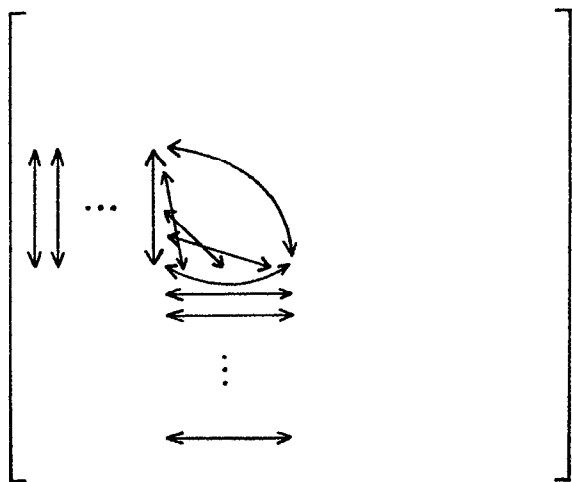
2) Add row-column i into row-column j , i.e., replace X_i by $Y_i + X_j, X_j$ by Y_j for $k \neq j$, where $i < j$. This transforms the matrix as follows.

$$F \rightarrow \begin{bmatrix} F_{1,1} \\ \dots \\ F_{i,1} & F_{i,2} & \dots & F_{i,i} \\ & & & F_{i+1,i} \\ \vdots & & & \vdots \\ F_{i,1} + F_{j,1} & F_{i,2} + F_{j,2} & \dots & F_{i,i} & F_{i+1,i} + F_{j,i+1} & \dots & \xi \\ & & & F_{i+1,i} & & & F_{i+1,i} + F_{j+1,i} \\ \vdots & & & \vdots & & & \vdots \\ F_{m,1} & & & F_{m,i} & & & F_{m,i} + F_{m,i} & \dots & F_{m,m} \end{bmatrix},$$

where $\xi = F_{i,i} + F_{j,i} + F_{i,j}$. Thus, elements of the matrix are added according to the arrows in the following diagram.

where $x = c + ab, y = f + db + ae, z = g + de$.

Proof: With * denoting scalar multiplication of a row column,



$$\begin{bmatrix} 0 \\ 1 & 0 \\ a & b & c \\ d & e & f & g \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix} \xrightarrow{\text{add } a*2 \text{ into } 3} \begin{bmatrix} 0 \\ 1 & 0 \\ 0 & b & c + ab \\ d & e & f + ae & g \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 & 0 \\ 0 & 0 & x \\ d & e & y & g \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix} \xrightarrow{\text{add } b*1 \text{ into } 3} \begin{bmatrix} 0 \\ 1 & 0 \\ 0 & 0 & x \\ 0 & e & y & z \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix} \xrightarrow{\text{add } d*2 \text{ into } 4}$$

$$\begin{bmatrix} 0 \\ 1 & 0 \\ 0 & 0 & x \\ 0 & 0 & y & z \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix} \xrightarrow{\text{add } e*1 \text{ into } 4} \begin{bmatrix} 0 \\ 1 & 0 \\ 0 & 0 & x \\ 0 & 0 & y & z \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \vdots \end{bmatrix} \xrightarrow{\text{add } h*2 \text{ into } 5} \dots$$

In order to enumerate the number of binary quadratic forms that may be transformed into each of the elementary forms, we reduce a randomly chosen binary quadratic form.

For notational convenience, we let a, b, c, \dots , denote arbitrary binary variables, and we use the symbol $\frac{1}{2}$ for entries that are independent, randomly distributed, binary variables, which may be either 0 or 1 with probability $\frac{1}{2}$.

Lemma 1

$$\begin{bmatrix} 0 \\ 1 & 0 \\ a & b & c \\ d & e & f & g \\ h & i & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ j & k & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ l & m & \frac{1}{2} \\ \vdots \\ n & p & \frac{1}{2} \end{bmatrix} \xrightarrow{1} \begin{bmatrix} 0 \\ 1 & 0 \\ 0 & 0 & x \\ 0 & 0 & y & z \\ 0 & 0 & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ 0 & 0 & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \dots \\ 0 & 0 & \frac{1}{2} \end{bmatrix}$$

Q.E.D.

We now reexamine the reduction of an arbitrary quadratic form via linear transformations, according to Dickson's theorem ([1], theorem 16.35). A flow chart of this reduction is shown in Fig. 1. Initially, we start with a random triangular matrix of the form

$$\text{order} = \begin{cases} j & \mathfrak{B} \text{ is empty} \\ j + \frac{1}{3} & \mathfrak{B} = [1] \\ j + \frac{2}{3} & \mathfrak{B} = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}. \end{cases}$$

We now let $R_{m,i}$, $S_{m,i}$, and $T_{m,i}$ be the probability that a random $m \times m$ matrix of the form of \mathfrak{R} , \mathfrak{S} , or \mathfrak{T} is equivalent (under an invertible linear transformation of variables) to a reduced matrix of order i . From the transition probabilities shown in Fig. 2 (an abbreviation of Fig. 1) we derive the recurrences

$$S_{m,i} = \frac{1}{2}R_{m,i} + 2^{-m}S_{m-1,i} + (\frac{1}{2} - 2^{-m})S_{m-2,i-1}, \quad (2)$$

$$R_{m,i} = 2^{-(m-1)}R_{m-1,i} + (\frac{1}{2} - 2^{-(m-1)})R_{m-2,i-1} + \frac{1}{4}S_{m-2,i-1} + \frac{1}{4}T_{m,i}, \quad (3)$$

$$T_{m,i} = \frac{1}{2}R_{m-2,i-1} + (\frac{1}{2} - 2^{-(m-2)})T_{m-2,i-1} + 2^{-(m-2)}T_{m-1,i}, \quad (4)$$

and the initial conditions

$$S_{m,i} = R_{m,i} = T_{m,i} = 0 \quad \text{if } m \leq 0 \quad \text{or } j \leq 0$$

$$S_{0,0} = R_{1,1/3} = T_{2,2/3} = 1.$$

A simpler recurrence may be obtained by allowing invertible affine transformations as well as invertible linear transformations. By invoking the binary identity

$$\begin{aligned} X_1^2 + X_1X_2 + X_2^2 &= X_1 + X_1X_2 + X_2 \\ &= (1 + X_1)(1 + X_2) + 1, \end{aligned}$$

followed by the application of Lemma 1, we may transform a matrix of the form \mathfrak{T} to a matrix of the form \mathfrak{B} . We may ignore the fact that this transformation changes the constant term G in (1) to $1 + G$, since for a random codeword both G and $1 + G$ are each 0 or 1 with probability $\frac{1}{2}$.

Let $R'_{m,i}$, $S'_{m,i}$, and $T'_{m,i}$ be the probability that a random $m \times m$ matrix of the form of R , S , or T is equivalent (under an invertible affine transformation of variables) to a reduced matrix of order i , where i is an integer or an integer $+ \frac{1}{3}$. Then $R'_{m,i}$, $S'_{m,i}$, and $T'_{m,i}$ satisfy (2) and (3) with everything primed, but (4) may be replaced by

$$T'_{m,i} = S'_{m-2,i-1}. \quad (4')$$

To convert probabilities to integers, we set

$$\begin{aligned} s_{m,i} &= 2^{(m+1)m/2}S_{m,i} \\ r_{m,i} &= 2^{(m+1)m/2-1}R_{m,i} \\ t_{m,i} &= 2^{(m+1)m/2-3}T_{m,i}. \end{aligned} \quad (5)$$

Inserting (5) into (2'), (3'), and (4') and using (4') to eliminate t gives

$$s_{m,i} = r_{m,i} + s_{m-1,i} + (2^{2m-2} - 2^{2m-1})s_{m-2,i-1}. \quad (6)$$

$$\begin{aligned} r_{m,i} &= 2r_{m-1,i} + (2^{2m-2} - 2^m)r_{m-2,i-1} \\ &\quad + 2^{2m-3}s_{m-2,i-1}. \end{aligned} \quad (7)$$

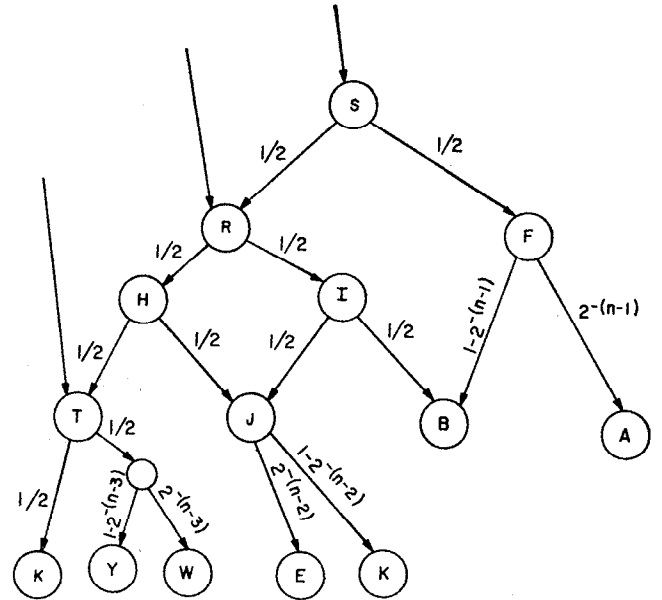


Fig. 2. Abbreviation of Fig. 1, showing probabilities.

Using (6) to eliminate r from (7) gives the basic recursion

$$\begin{aligned} s_{m,i} &= 3s_{m-1,i} - 2s_{m-2,i} + (5 \cdot 2^{2m-3} - 3 \cdot 2^{2m-1})s_{m-2,i-1} \\ &\quad - 3(2^{2m-3} - 2^{2m-1})s_{m-3,i-1} - (2^{2m-2} - 2^m) \\ &\quad \cdot (2^{2m-6} - 2^{2m-3})s_{m-4,i-2} \quad m \geq 3, \end{aligned} \quad (8)$$

with initial conditions

$$\begin{aligned} s_{0,0} = s_{1,0} = 1; s_{m,i} = 0 \quad &\text{if } m < 0 \quad \text{or } j < 0 \\ &\text{or if } m = 0 \text{ or } 1 \quad \text{and } j \neq 0. \end{aligned}$$

Since the matrices whose order is an integer $+ \frac{1}{3}$ correspond to codewords of weight 2^{m-1} , we need only consider $s_{m,i}$ for integral values of j . In view of Berlekamp's results ([1], p. 416, sec. 16.3), it is clear that $s_{m,i}$ is equal to $A_{2^{m-1}-2^{m-1-i}}$, the number of codewords of weight $2^{m-1} - 2^{m-1-i}$ (or $2^{m-1} + 2^{m-1-i}$) in the second-order RM code of length 2^m .

For fixed j , (8) is of the form

$$s_{m,i} - 3s_{m-1,i} + 2s_{m-2,i} = f_m. \quad (9)$$

If f_m is the impulse function ($f_0 = 1, f_m = 0$ for $m \neq 0$), then the solution of (9) is given by (still for j fixed)

$$s_{m,i} = (2^{m+1} - 1) \quad m \geq 0; \quad s_{m,i} = 0 \quad m < 0.$$

For arbitrary f_m , the solution of (9) is given by

$$s_{m,i} = \sum_{k=0}^m (2^{m+1-k} - 1)f_k. \quad (10)$$

By repeatedly applying (9) and (10) to (8), we find that

$$\begin{aligned} s_{m,0} &= 1 \\ s_{m,1} &= \frac{2^2(2^m - 1)(2^{m-1} - 1)}{3} \\ s_{m,2} &= \frac{2^6(2^m - 1)(2^{m-1} - 1)(2^{m-2} - 1)(2^{m-3} - 1)}{45}, \end{aligned}$$

TABLE I (cont.)

Cofactors					
n	4	5	6	7	8
w					(In starred rows, factors ≥ 3388817 omitted)
68				...	421
70					47*71
72					37*47
74					47*163*241
76					47
78					41*47
80					47*89
82					47*89
84					47*89*241
86					47*89*1559
88					47*89*409
90					47
92					13*47
94					73*647
96					73*607
98					53
100					13*53
102					13*53
104					53*163
106					23*563
108					23*71
110					37
112					241*1151
114					
116					47*359
118					
120					997
122					
124					109*173
126					
128					13
130					

which leads to the correct conjecture that

$$s_{n,i} = \frac{2^{i(i+1)}(2^m-1)(2^{m-1}-1)(2^{m-2}-1)\cdots(2^{m-2i+1}-1)}{(2^2-1)(2^4-1)\cdots(2^{2i}-1)} \quad (11)$$

In order to verify that (11) solves (8), let us define

$$D(n, r) \triangleq (2^n - 1)(2^{n-1} - 1) \cdots (2^{n-r} - 1) \quad (12)$$

$$K_i \triangleq 2^{i(i+1)} \{(2^{2i} - 1)(2^{2i-2} - 1) \cdots (2^2 - 1)\}^{-1}, \quad (13)$$

so that (11) becomes

$$s_{n,i} = K_i D(n, 2j - 1). \quad (14)$$

Then

$$\begin{aligned} \Delta D(n, r) &\triangleq D(n+1, r) - D(n, r) \\ &= 2^{n-r}(2^{r+1} - 1) D(n, r - 1) \end{aligned} \quad (15)$$

$$\begin{aligned} \Delta s_{n,i} &\triangleq s_{n+1,i} - s_{n,i} \\ &= K_i 2^{n-2i+1} (2^{2i} - 1) D(n, 2j - 2). \end{aligned} \quad (16)$$

and

$$D(n+1, r+1) = (2^{n+1} - 1) D(n, r) \quad (17)$$

$$K_i / K_{i-1} = 2^{2i} / (2^{2i} - 1). \quad (18)$$

Then (8) may be rewritten as

$$\begin{aligned} \Delta s_{n-1,i} - 2 \Delta s_{n-2,i} &= (4 \cdot 2^{2n-3} - 3 \cdot 2^{n-1}) \cdot \Delta s_{n-3,i-1} \\ &\quad + 2^{2n-3} \{s_{n-2,i-1} + s_{n-3,i-1}\} \\ &\quad - (2^{2n-2} - 2^n)(2^{2n-6} - 2^{n-3}) s_{n-4,i-2} \end{aligned} \quad (19)$$

and the verification is completed by using (14)–(18) to reduce both sides of (19) to

$$K_{i-1} D(n-2, 2j-3) 2^{2n-2i} (2^{2i-1} - 1).$$

This proves the theorem stated in the abstract.

In order to obtain further data on the weight structure of Reed-Muller codes of orders > 2 , Brillhart wrote a computer program to determine the weight enumerators for some of the duals of the second-order RM codes from our theorem and MacWilliams' theorem ([1], theorem 16.21). Brillhart's results, in factored form, are given in Table I. The reader will notice many patterns in these data, which he is invited to try to prove in general.

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